

INTERNAL ROUTING SLIP

Case No.: MO09099-AC

Subject Name: John Dollarhite

Draft Stipulation Over \$20,000

Draft OGC Referral

Draft OGC Complaint

Other _____

Specialist

[Signature]

Date

11/25/11

Approved

(no add'l review)

Branch Chief

SLC

Date

11/25/11

Approved

(no add'l review)

Approved pending noted corrections. I'm concerned that Dollarhite might not have been a "dealer" for the 2010, guinea pig transaction, given the \$500 exclusion from the definition of dealer in the Act. Should we ask OGC to remove the 2010 allegation?

If so, we'll need to adjust the date ranges & totals accordingly.

Deputy Director

Date

Approved

(no add'l review)

Director

Date

Approved

(no add'l review)