

Cathy Liss 30(b)(6)

Washington, DC

May 18, 2005

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IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

----- X

AMERICAN SOCIETY FOR THE :
PREVENTION OF CRUELTY TO :
ANIMALS, et al., :
Plaintiffs, : Case No. 03-2006 (EGS)

v. :

RINGLING BROS. AND BARNUM & :
BAILEY CIRCUS, et al., :

Defendants. :

----- X

Washington, D.C.

Wednesday, May 18, 2005

Videotape Deposition of CATHY LISS, a
30(b)(6) witness herein, called for examination by
counsel for Defendants in the above-entitled matter,
pursuant to notice, the witness being duly sworn by
SUSAN L. CIMINELLI, a Notary Public in and for the
District of Columbia, taken at the offices of
Covington & Burling, 1201 Pennsylvania Avenue, N.W.,
Washington, D.C., at 9:38 a.m., Wednesday, May 18,
2005, and the proceedings being taken down by
Stenotype by SUSAN L. CIMINELLI, CRR, RPR, and
transcribed under her direction.

Page 138

1 Q. Who was there from the ASPCA?
 2 A. Lisa Weissberg.
 3 Q. Who was there from Fund for Animals?
 4 A. Mike Markarian.
 5 Q. Anyone else? Not from the Fund in
 6 particular, but was there anyone else in general
 7 there?
 8 A. Our counsel.
 9 Q. Ms. Meyer?
 10 A. Yes.
 11 Q. Anyone else?
 12 A. I don't recall who else was present.
 13 Q. How long did that meeting last?
 14 A. Couple hours at most.
 15 Q. Are there any other occasions on which you
 16 met Mr. Rider?
 17 A. No.
 18 Q. Does Mr. Rider ever work for AWI?
 19 A. No.
 20 Q. Has Animal Welfare Institute ever paid
 21 Mr. Rider any money?
 22 A. Yes.
 23 Q. How much did they pay him?
 24 A. Couple thousand dollars.
 25 Q. When was that?

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1 A. Over the course of five years. Roughly
 2 from 2000 forward.
 3 Q. Are you still making payments to him?
 4 A. No.
 5 Q. When was the last time you made a payment
 6 to him?
 7 MS. OCKENE: Objection to the
 8 characterization that there were payments on some
 9 schedule.
 10 MR. WOLSON: I don't think I made that
 11 characterization.
 12 MS. OCKENE: I'm still objecting to the
 13 form.
 14 THE WITNESS: We have no plans to give him
 15 additional moneys at this time.
 16 BY MR. WOLSON:
 17 Q. Well, my question was when was the last
 18 time you made a payment to him?
 19 A. At some point in 2005. I don't recall the
 20 date specifically.
 21 Q. So within the last four an a half months?
 22 A. Yes.
 23 Q. What were you paying him for?
 24 A. For public education.
 25 Q. What do you mean by public education?

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1 A. For him to do -- to speak at events.
 2 Q. What events has he spoken at on behalf of
 3 AWI?
 4 A. He has never spoken on behalf of AWI.
 5 Q. What events has AWI paid him to speak at?
 6 A. We haven't paid him -- we paid his
 7 transportation costs so that he could go to Atlanta,
 8 for example, to speak.
 9 Q. Okay. Tell me all the events for which
 10 you paid his transportation costs so he could go
 11 speak.
 12 A. I couldn't tell you. It's not very many.
 13 Q. What do you mean by not very many? How
 14 many are we talking about?
 15 A. Given that the -- it's \$2,000 for a hotel
 16 and transportation, it doesn't go very far. Maybe
 17 three.
 18 Q. How did you decide when to, when to pay
 19 for Mr. Rider's -- let me rephrase that. Has it been
 20 at your request that Mr. Rider has gone to speak at
 21 these events?
 22 A. Yes.
 23 Q. And why have you decided to ask Mr. Rider
 24 to speak at these particular events?
 25 A. They were in conjunction with appearances

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1 of the circus and we thought it was important to
 2 educate the public about what he observed.
 3 Q. And when you say the circus, do you mean
 4 specifically Ringling Bros.?
 5 A. Yes.
 6 Q. So you paid Mr. Rider's expense -- travel
 7 expenses to go speak about Ringling Bros. in cities
 8 where Ringling Bros. was performing?
 9 A. That's correct. We contributed towards.
 10 Yes.
 11 Q. Was it always at your initiative that you
 12 contributed towards it?
 13 A. No.
 14 Q. Whose initiative was it?
 15 A. It might have been his, too.
 16 Q. He approached you to ask you to
 17 contribute?
 18 A. Yes.
 19 Q. Has he ever asked for anything more than
 20 his travel expenses?
 21 A. No.
 22 Q. Is all the money that you paid him for
 23 travel expenses?
 24 A. Yes.
 25 Q. On the times that you've reimbursed him,

1 IN THE UNITED STATES DISTRICT COURT

2 FOR THE DISTRICT OF COLUMBIA

3 - - - - - X

4 AMERICAN SOCIETY FOR THE :

5 PREVENTION OF CRUELTY TO :

6 ANIMALS, et al., :

7 Plaintiffs, :

8 V. : Case No. 03-2006 (EGS)

9 RINGLING BROS. AND BARNUM & :

10 BAILEY CIRCUS, et al., :

11 Defendants. :

12 - - - - - X

13 Washington, D.C.

14 Tuesday, July 19, 2005

15 Videotaped deposition of LISA WEISBERG, a
16 witness herein, called for examination by counsel for
17 Defendants in the above-entitled matter, pursuant to
18 notice, the witness being duly sworn by MARY GRACE
19 CASTLEBERRY, a Notary Public in and for the District
20 of Columbia, taken at the offices of Covington &
21 Burling, 1201 Pennsylvania Avenue, N.W., Washington,
22 D.C., at 9:40 a.m., Tuesday, July 19, 2005, and the
23 proceedings being taken down by Stenotype by MARY
24 GRACE CASTLEBERRY, RPR, and transcribed under her
25 direction.

Page 46	Page 48
<p>1 Bros.</p> <p>2 Q. What other activities were covered in the</p> <p>3 \$6,000 grant?</p> <p>4 A. They were to reimburse Tom Rider for his</p> <p>5 general living expenses to travel the country and</p> <p>6 meet with the media.</p> <p>7 Q. Did you have any direct -- did you provide</p> <p>8 Mr. Rider with any direct payments or were all of</p> <p>9 your -- that's my question. Did you provide him with</p> <p>10 any direct payments?</p> <p>11 A. Yes, in 2003, I believe.</p> <p>12 Q. Did you provide that check request to us?</p> <p>13 A. I believe I did.</p> <p>14 MS. DALTON: I don't recall that, so Kim,</p> <p>15 if we could perhaps discuss that. We didn't receive</p> <p>16 any check request for Mr. Rider specifically.</p> <p>17 THE WITNESS: Well, they weren't to</p> <p>18 Mr. Rider, the check requests. We would either</p> <p>19 advance money to him to purchase a Greyhound bus</p> <p>20 ticket or to reimburse him for his daily living</p> <p>21 expenses or I would prepay his hotel rooms. So there</p> <p>22 was never any checks written to Mr. Rider.</p> <p>23 BY MS. DALTON:</p> <p>24 Q. So there aren't any documents that would</p> <p>25 reflect any of those purchases or any of those</p>	<p>1 any additional funds for his participation in this</p> <p>2 project from other plaintiffs?</p> <p>3 A. I can't answer that.</p> <p>4 Q. You don't know?</p> <p>5 A. I don't recall.</p> <p>6 MS. DALTON: I would like to mark Exhibit</p> <p>7 8.</p> <p>8 (ASPCA Exhibit No. 8 was</p> <p>9 marked for identification.)</p> <p>10 BY MS. DALTON:</p> <p>11 Q. And this is another check request</p> <p>12 requested by you for the check made payable to Meyer</p> <p>13 & Glitzenstein for, quote, "Tom Rider testimony at</p> <p>14 Mass. legislative hearing on anticircus bill,"</p> <p>15 correct?</p> <p>16 A. Correct.</p> <p>17 Q. And this was dated May 23rd, 2003,</p> <p>18 correct?</p> <p>19 A. Correct.</p> <p>20 Q. Why did the ASPCA reimburse Mr. Rider for</p> <p>21 this testimony?</p> <p>22 A. That covered his transportation and hotel</p> <p>23 costs to get to Massachusetts, to get to Boston to</p> <p>24 testify at the hearing.</p> <p>25 Q. Why did the ASPCA not reimburse Mr. Rider</p>
Page 47	Page 49
<p>1 monetary advances?</p> <p>2 A. The hotel rooms were oftentimes put on my</p> <p>3 American Express corporate card, and then some of the</p> <p>4 other smaller items were reimbursed to him through</p> <p>5 petty cash.</p> <p>6 Q. And those were all in 2003?</p> <p>7 A. Correct.</p> <p>8 Q. Can you think of any other direct payments</p> <p>9 or in-kind reimbursements to Mr. Rider for any of the</p> <p>10 years besides 2003?</p> <p>11 A. No.</p> <p>12 Q. Returning to Exhibit 7. So if you could</p> <p>13 tell me -- if you could go into more detail as to</p> <p>14 what the \$6,000 grant was originally for.</p> <p>15 A. Again, it was to reimburse Mr. Rider for</p> <p>16 his Greyhound bus tickets, to travel the country,</p> <p>17 basic day-to-day living expenses, food, lodging.</p> <p>18 Q. And this was all provided through the</p> <p>19 Wildlife Advocacy Project?</p> <p>20 A. Correct.</p> <p>21 Q. Did Mr. Rider know that the ASPCA was</p> <p>22 providing this funding through the Wildlife Advocacy</p> <p>23 Project?</p> <p>24 A. I believe so.</p> <p>25 Q. Did Mr. Rider, to your knowledge, receive</p>	<p>1 directly for his work on this project?</p> <p>2 A. At the time, we had no way of getting the</p> <p>3 money to Mr. Rider because he was on the road and</p> <p>4 Meyer & Glitzenstein was able to wire the money to</p> <p>5 him.</p> <p>6 Q. Did you consult with Mr. Rider about the</p> <p>7 contents of his testimony?</p> <p>8 A. Of the contents of his testimony?</p> <p>9 Q. Yes, referred to in this exhibit.</p> <p>10 A. No. Mr. Rider can speak firsthand about</p> <p>11 his knowledge of what occurs at Ringling Bros.</p> <p>12 Q. Did Mr. Rider know that the ASPCA was</p> <p>13 providing this funding?</p> <p>14 A. I believe so.</p> <p>15 Q. Have any other payments from the ASPCA to</p> <p>16 Meyer & Glitzenstein included funds that were</p> <p>17 intended to go to Mr. Rider?</p> <p>18 A. No.</p> <p>19 MS. DALTON: I would like to mark Exhibit</p> <p>20 9.</p> <p>21 (ASPCA Exhibit No. 9 was</p> <p>22 marked for identification.)</p> <p>23 BY MS. DALTON:</p> <p>24 Q. And this is an e-mail to Dr. Hawk from you</p> <p>25 dated May 7th, 2001, correct?</p>

Michael Markarian

Washington, D.C.

June 22, 2005

Page 1

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA
Case No. 03-2006 (EGS)

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AMERICAN SOCIETY FOR THE PREVENTION OF)
 CRUELTY TO ANIMALS, et al.,)
 Plaintiffs,)

v.)

RINGLING BROS. AND BARNUM & BAILEY)
 CIRCUS, et al.,)
 Defendants.)

----- X

Washington, D.C.

June 22, 2005

Deposition of MICHAEL MARKARIAN, a witness
herein, called for examination by counsel for
defendant, taken at the offices of COVINGTON &
BURLING, 1201 Pennsylvania Avenue, Suite 1100, on the
22nd day of June, 2005, at 9:41 a.m. before Mary Ann
Payonk, RPR, RMR, RDR, Certified Realtime Reporter and
Notary Public.

Page 154	Page 156
<p>1 A We have worked with the ASPCA on some 2 legislative issues, state ballot initiatives, for 3 example. 4 Q Have any of those legislative issues 5 related to circuses? 6 A Not that I can recall particularly. 7 Q Did you coordinate at all with the ASPCA 8 regarding the Captive Elephant Act and Protection Act? 9 A We may have. We routinely work with other 10 like-minded groups, but I don't particularly recall 11 coordinating specifically with the ASPCA on that 12 issue. 13 Q Other than the legislative issues you've 14 mentioned, can you think of anything else in which 15 you've worked with the ASPCA since 1996 with the same 16 carve-outs that I started with? 17 A We -- we -- the Fund for Animals 18 previously operated a spay/neuter clinic for dogs and 19 cats in New York City, and the ASPCA, because it's 20 based in New York and because it has a great deal of 21 experience with -- with pet issues, we had consulted 22 with them on -- on spay/neuter issues and dog and cat 23 issues in general in the New York area but we 24 didn't -- we didn't work with the ASPCA on any other 25 issues that really come to mind immediately.</p>	<p>1 Q Has the Animal Welfare Institute given the 2 fund any money since 1996? 3 A Not that I can recall. 4 Q When did you first meet Tom Rider? 5 A I can't recall exactly when I first met 6 him. 7 Q Do you know when the first time any 8 employee from the fund met him? 9 A I don't know. 10 Q Do you know how you were put in touch with 11 him for the first time? 12 A I don't recall. 13 Q Was it in connection with this case? 14 A I believe it was, yes. 15 Q Other than this case, has the fund worked 16 on any other projects with Mr. Rider? 17 A We have in -- in some cases worked with 18 him on the broader issue of -- of circuses in general, 19 outside of the -- the scope of this case. 20 Q And what cases were those that you've 21 worked with him? 22 A He and I both attended a press conference 23 in Denver last summer in -- in relation to a -- 24 supporting a -- a city ballot measure dealing with 25 circuses.</p>
Page 155	Page 157
<p>1 Q Does the fund no longer operate the 2 spay/neuter clinic in New York City? 3 A That's correct. 4 Q Does the Humane Society operate it, then? 5 A No. 6 Q Has it been shut down? 7 A Yes. 8 Q Since 1996 has the fund given the ASPCA 9 any money? 10 A Not to my knowledge. 11 Q How about the other way? Has the ASPCA 12 given the fund any money? 13 A Not that I can recall. 14 Q Other than this case and the FOIA matters 15 that we talked about, has the fund had any contact 16 with the Animal Welfare Institute since 1996? 17 A Similarly, we have worked with the Animal 18 Welfare Institute on some legislative issues, 19 primarily. 20 Q Were any of those legislative issues 21 related to circuses? 22 A Not that I can recall, specifically. 23 Q Has the fund given the Animal Welfare 24 Institute any money since 1996? 25 A Not to my knowledge.</p>	<p>1 I know he has attended legislative 2 hearings in -- in some states and -- and press 3 conferences in some states to -- to discuss the 4 treatment of animals in circuses, and we have worked 5 with him on some of those issues. 6 Q What do you mean when you say you've 7 worked with him in connection with those legislative 8 hearings and press conferences? 9 A I -- for example, staff member of the Fund 10 for Animals was at a -- a -- a press conference and 11 rally in Harrisburg, Pennsylvania dealing with the 12 treatment of animals in circuses. Mr. Rider was also 13 present there. 14 Q Was that a protest in Harrisburg? 15 A I don't particularly know if it was a 16 protest. I was not personally present. I believe it 17 was a -- a press conference and a rally to -- to 18 educate the public about the issue of circuses and the 19 treatment of animals in circuses. 20 Q Okay. Has the fund ever -- has the fund 21 ever paid Mr. Rider any money? 22 A Yes. 23 Q On how many occasions? 24 A I believe there was one occasion. Last 25 July of 2004 we gave Mr. Rider \$1,000 to assist with</p>

40 (Pages 154 to 157)

Michael Markarian

June 22, 2005

Washington, D.C.

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1 his travel expenses to participate in the Denver press
 2 conference, which I mentioned earlier.
 3 Q When you say you gave him \$1,000, did you
 4 pay for him to -- to attend that press -- let me
 5 rephrase that.
 6 Did you -- is the \$1,000 reflective of
 7 expenses you incurred to purchase and make travel
 8 arrangements for him, such as air fare, or did you
 9 actually hand over the \$1,000 to make his own
 10 arrangements?
 11 MS. MEYER: Objection to the form.
 12 BY MR. WOLSON:
 13 Q You can answer.
 14 A We gave the \$1,000 directly to Mr. Rider.
 15 He made his own travel arrangements.
 16 Q Did he submit any receipts for those --
 17 that thousand dollars?
 18 A I believe that we did receive one receipt
 19 from him.
 20 Q Was that receipt for \$1,000?
 21 A I don't -- I don't recall how -- the exact
 22 amount.
 23 Q Do you know what the receipt was for?
 24 A My recollection is that he -- he -- that
 25 it was a receipt for some repairs to his vehicle which

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1 allowed him to drive to -- to Denver.
 2 Q So you never got a receipt from him for a
 3 hotel room?
 4 A No, not to my knowledge.
 5 Q Other than paying money, has the fund ever
 6 given Mr. Rider any other sort of compensation, like
 7 food or lodging or transport?
 8 A Not that I'm aware of.
 9 Q Go back to the interrogatory responses and
 10 look at interrogatory number 8 on page 25. I'm sorry,
 11 interrogatory 16. Interrogatory 16 asks for every
 12 communication that you have had with any current or
 13 former employee of defendant since 1996. See that?
 14 A Yes.
 15 Q Okay. On page 26 is the start of a
 16 paragraph that lasts for about a page detailing some
 17 communications that Mr. Schubert has had with
 18 Mr. Rider. Do you see that?
 19 A Yes, I do.
 20 Q Okay. The first one of these occurred
 21 in -- what it says here was June or July of 1999 or
 22 2000. Do you see that?
 23 A Yes.
 24 Q Who was Mr. Schubert working for at that
 25 time?

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1 A At that time, I believe he was working for
 2 his own consulting business called Schubert and
 3 Associates.
 4 Q What kind of consulting business was that?
 5 A He did wildlife biology consulting and --
 6 and research for various clients, including the Fund
 7 for Animals and other animal protection organizations.
 8 Q And that was based in Phoenix?
 9 A Yes.
 10 Q It says that at that time, Mr. Schubert
 11 contacted Mr. Rider by telephone to determine whether
 12 he, I presume Mr. Rider, would be available to
 13 transfer to Phoenix to participate in a press
 14 conference being hosted by the Animal Defense League
 15 of Arizona. Do you see that?
 16 A Yes.
 17 Q Do you know where Mr. Rider was living at
 18 that time?
 19 A No, I don't know.
 20 Q Do you know for whom he was working?
 21 A No, I don't.
 22 Q Do you know if he was working for Ringling
 23 Bros.?
 24 A I don't -- I don't know. I believe it was
 25 after he was no longer an employee of Ringling Bros.

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1 Q Do you know how Mr. Schubert knew who
 2 Mr. Rider was?
 3 A I don't know how they met particularly.
 4 Q Do you know how he knew where to reach
 5 Mr. Rider?
 6 A No, I don't know.
 7 Q Do you know why Mr. Schubert selected
 8 Mr. Rider?
 9 A No, I don't.
 10 Q It says here that arrangements were made
 11 for Mr. Rider to fly into Phoenix to Sky Harbor
 12 Airport. Do you see that?
 13 A Yes.
 14 Q Who made those arrangements?
 15 A I don't know.
 16 Q Do you know who paid for Mr. Rider's
 17 flight?
 18 A No, I don't.
 19 Q The interrogatory response goes on to say
 20 that Mr. Schubert picked Mr. Rider up, took him to
 21 dinner and dropped him off at a hotel. Do you see
 22 that?
 23 A Yes.
 24 Q Do you know who paid for the dinner?
 25 A No, I don't.

41 (Pages 158 to 161)

Form **990**

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No 1545-0047

2005

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2005 calendar year, or tax year beginning **JUL 1, 2005** and ending **JUN 30, 2006**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization ANIMAL WELFARE INSTITUTE	D Employer identification number 13-5655952
	Please use IRS label or print or type See Specific Instructions Number and street (or P O box if mail is not delivered to street address) Room/suite 1007 QUEEN STREET	E Telephone number 703-836-4300
	City or town, state or country, and ZIP + 4 ALEXANDRIA, VA 22314	F Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)

G Website: WWW.AWIONLINE.ORG

J Organization type (check only one) 501(c) (3) (insert no) 4947(a)(1) or 527

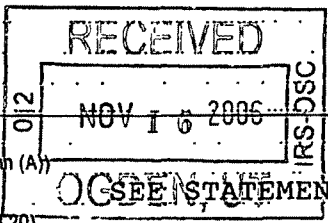
K Check here if the organization's gross receipts are normally not more than \$25,000 The organization need not file a return with the IRS; but if the organization chooses to file a return, be sure to file a complete return Some states require a complete return.

L Gross receipts. Add lines 6b, 8b, 9b, and 10b to line 12 **5,189,387.**

H and I are not applicable to section 527 organizations.
H(a) Is this a group return for affiliates? Yes No
H(b) If "Yes," enter number of affiliates **N/A**
H(c) Are all affiliates included? **N/A** Yes No (If "No," attach a list)
H(d) Is this a separate return filed by an organization covered by a group ruling? Yes No
I Group Exemption Number **N/A**
M Check if the organization is not required to attach Sch B (Form 990, 990-EZ, or 990-PF).

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

1	Contributions, gifts, grants, and similar amounts received			
a	Direct public support	1a	2,933,857.	
b	Indirect public support	1b		
c	Government contributions (grants)	1c		
d	Total (add lines 1a through 1c) (cash \$ 2,933,857. noncash \$)	1d	2,933,857.	
2	Program service revenue including government fees and contracts (from Part VII, line 93)	2		
3	Membership dues and assessments	3		
4	Interest on savings and temporary cash investments	4	201,582.	
5	Dividends and interest from securities	5	161,426.	
6 a	Gross rents	6a		
b	Less: rental expenses	6b		
c	Net rental income or (loss) (subtract line 6b from line 6a)	6c		
7	Other investment income (describe)	7		
8 a	Gross amount from sales of assets other than inventory	(A) Securities		(B) Other
b	Less: cost or other basis and sales expenses	8a	1,892,016.	
c	Gain or (loss) (attach schedule)	8b	1,865,112.	
d	Net gain or (loss) (combine line 8c, columns (A) and (B))	8c	26,904.	
9	Special events and activities (attach schedule) If any amount is from gaming, check here <input type="checkbox"/>			
a	Gross revenue (not including \$ of contributions reported on line 1a)	9a		
b	Less: direct expenses other than fundraising expenses	9b		
c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c		
10 a	Gross sales of inventory, less returns and allowances	10a		
b	Less: cost of goods sold	10b		
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c		
11	Other revenue (from Part VII, line 103)	11	506.	
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12	3,324,275.	
13	Program services (from line 44, column (B))	13	1,529,250.	
14	Management and general (from line 44, column (C))	14	66,690.	
15	Fundraising (from line 44, column (D))	15	21,956.	
16	Payments to affiliates (attach schedule)	16		
17	Total expenses (add lines 16 and 44, column (A))	17	1,617,896.	
18	Excess or (deficit) for the year (subtract line 17 from line 12)	18	1,706,379.	
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19	12,730,212.	
20	Other changes in net assets or fund balances (attach explanation)	20	142,199.	
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	14,578,790.	



SCANNED DEC 05 2006

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ANIMAL WELFARE INSTITUTE

13-5655952

ENVIRONMENTAL	WHALE & DOLPHIN CONSERVATION SOCIETY	BROOKFIELD HOUSE, 38 ST. PAUL ST, CHIPPENHAM, WILTSHIRE SN151LY UK	NONE	1,000. .
ENVIRONMENTAL	TOM RIDER	C/O CAPITAL ONE, P.O. BOX 85184, RICHMOND, VA 23285	NONE	786. .
ENVIRONMENTAL.	BLUEVOICE.ORG	925 LAKEVILLE ST #354, PETALUMA, CA 94952	NONE	1,000. .
ENVIRONMENTAL	WILDLIFE ADVOCACY PROJECT	1601 CONNECTICUT AVE NW, STE 700, WASHINGTON, DC 20009	NONE	21,427. .
ENVIRONMENTAL	ULLA RASMUSSEN	C/O DANSKE BANK, FLAKHAVEN 1, 5100 ODENSE C DENMARK	NONE	5,000. .
ENVIRONMENTAL	WASHINGTON STATE UNIVERSITY	DEPT OF VCAPP, P.O. BOX 646520, PULLMAN, WA 99164	NONE	5,000. .
ENVIRONMENTAL	OCEAN MAMMAL INSTITUTE	P.O. BOX 14422, READING, PA 19612	NONE	1,250. .
ENVIRONMENTAL	WHALEMAN FOUNDATION	801 2ND ST #410, SANTA MONICA, CA 90403	NONE	1,000. .
ENVIRONMENTAL	RICHARD O'BARRY	7511 SW 59TH PLACE, MIAMI, FL 33143	NONE	5,000. .
ENVIRONMENTAL	EARTH ISLAND INSTITUTE, ECO	300 BROADWAY, STE 28, SAN FRANCISCO, CA 94133	NONE	500. .
ENVIRONMENTAL	ANDREW WINTERBORN, UNIV OF ROCHESTER MED CTR	DEPT OF COM MED, 601 ELMWOOD AVE, ROCHESTER, NY 14642	NONE	5,000. .
ENVIRONMENTAL	TJL SCHOOL OF PHARMACY & HEALTH SCIENCES	751 BROOKSIDE RD, UNIV OF THE PACIFIC, STOCKTON, CA 95211	NONE	5,000. .
ENVIRONMENTAL	OHSU C/O SPECIAL PROJECTS	2525 SW 1ST AVE, STE 220, PORTLAND, OR 97201	NONE	5,000. .
ENVIRONMENTAL	ANITA CONTE- COLLEGE OF STATEN ISLAND	2800 VICTORY BLVD 4S-107, STATEN ISLAND, NY 10314	NONE	5,000. .

Form **990**

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2004

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2004 calendar year, or tax year beginning **JUL 1, 2004** and ending **JUN 30, 2005**

B Check if applicable:
 Address change
 Name change
 Initial return
 Final return
 Amended return
 Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: **ANIMAL WELFARE INSTITUTE**

D Employer identification number: **13-5655952**

Number and street (or P.O. box if mail is not delivered to street address) Room/suite **E** Telephone number
1007 QUEEN STREET **703-836-4300**

City or town, state or country, and ZIP + 4 **F** Accounting method Cash Accrual
ALEXANDRIA, VA 22314 Other (specify) _____

H and I are not applicable to section 527 organizations.
H(a) Is this a group return for affiliates? Yes No
H(b) If "Yes," enter number of affiliates: _____
H(c) Are all affiliates included? **N/A** Yes No (If "No," attach a list.)
H(d) Is this a separate return filed by an organization covered by a group ruling? Yes No
I Group Exemption Number: _____

G Website: **WWW.AWIONLINE.ORG**

J Organization type (check only one) 501(c) (**3**) (insert no.) 4947(a)(1) or 527

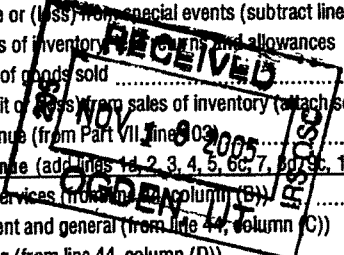
K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS; but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

L Gross receipts: Add lines 6b, 8b, 9b, and 10b to line 12 **9,642,219.**

M Check if the organization is not required to attach Sch. B (Form 990, 990-EZ, or 990-PF).

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances

Revenue	1 Contributions, gifts, grants, and similar amounts received:			
	a Direct public support	1a	8,962,038.	
	b Indirect public support	1b		
	c Government contributions (grants)	1c		
	d Total (add lines 1a through 1c) (cash \$ 8,962,038. noncash \$ _____)	1d	8,962,038.	
	2 Program service revenue including government fees and contracts (from Part VII, line 93)	2		
	3 Membership dues and assessments	3		
	4 Interest on savings and temporary cash investments	4	307,476.	
	5 Dividends and interest from securities	5	54,127.	
	6 a Gross rents	6a		
	b Less: rental expenses	6b		
	c Net rental income or (loss) (subtract line 6b from line 6a)	6c		
7 Other investment income (describe _____)	7			
Revenue	8 a Gross amount from sales of assets other than inventory	(A) Securities	(B) Other	
		318,303. 8a		
	b Less: cost or other basis and sales expenses	8b	329,856.	
	c Gain or (loss) (attach schedule)	8c	-11,553.	
	d Net gain or (loss) (combine line 8c, columns (A) and (B)) STMT 1	8d	-11,553.	
	9 Special events and activities (attach schedule). If any amount is from gaming, check here <input type="checkbox"/>	a Gross revenue (not including \$ _____ of contributions reported on line 1a)	9a	
		b Less: direct expenses other than fundraising expenses	9b	
		c Net income or (loss) from special events (subtract line 9b from line 9a)	9c	
	10 a Gross sales of inventory, gifts, and allowances	10a		
		b Less: cost of goods sold	10b	
c Gross profit or loss from sales of inventory (attach schedule) (subtract line 10b from line 10a)		10c		
11 Other revenue (from Part VII, line 903)	11	275.		
12 Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 9c, 10c, and 11)	12	9,312,363.		
Expenses	13 Program services (from line 12, column (B))	13	1,406,101.	
	14 Management and general (from line 44, column (C))	14	113,496.	
	15 Fundraising (from line 44, column (D))	15	16,830.	
	16 Payments to affiliates (attach schedule)	16		
	17 Total expenses (add lines 16 and 44, column (A))	17	1,536,427.	
Net Assets	18 Excess or (deficit) for the year (subtract line 17 from line 12)	18	7,775,936.	
	19 Net assets or fund balances at beginning of year (from line 73, column (A))	19	4,841,036.	
	20 Other changes in net assets or fund balances (attach explanation) SEE STATEMENT 2	20	113,240.	
	21 Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	12,730,212.	



613-16 3

ANIMAL WELFARE INSTITUTE

13-5655952

ENVIRONMENTAL	TOM RIDER	C/O CAPITAL ONE, P.O. BOX 85184, RICHMOND, VA 23285	NONE	1,208.
ENVIRONMENTAL	CENTER FOR CART HORSES IN ARGENTINA	JULIAN ALVEREZ 143, C.P. 1414 BUENOS AIRES, ARGENTINA	NONE	5,000.
ENVIRONMENTAL	WILDLIFE ADVOCACY PROJECT	1601 CONNECTICUT AVE NW, STE 700, WASHINGTON, DC 20009	NONE	8,000.
ENVIRONMENTAL	NIMAN RANCH	1025 EAST 12TH ST, OAKLAND, CA 94606	NONE	2,500.
ENVIRONMENTAL	WASHINGTON STATE UNIVERSITY	PO BOX 1165, PULLMAN, WA 99164-1165	NONE	6,000.
ENVIRONMENTAL	SUSAN SHERPA	C/O DR. B CAMERON, 30 RENATA CT, DUNDAS, ONTARIO, CANADA L9H1X2	NONE	4,000.
ENVIRONMENTAL	WHALEMAN FOUNDATION	801 2ND ST #410, SANTA MONICA, CA 90403	NONE	1,000.
ENVIRONMENTAL	SD PEACE AND JUSTICE CENTER	PO BOX 405, WATERTOWN, SD 57201	NONE	500.
ENVIRONMENTAL	ECO/EARTH ISLAND INSTITUTE	300 BROADWAY, STE 28, SAN FRANCISCO, CA 94133	NONE	500.
TOTAL INCLUDED ON FORM 990, PART II, LINE 22				76,842.

FORM 990 NON-GOVERNMENT SECURITIES STATEMENT 6

SECURITY DESCRIPTION	COST/FMV	CORPORATE STOCKS	CORPORATE BONDS	OTHER PUBLICLY TRADED SECURITIES	TOTAL NON-GOV'T SECURITIES
WINN DIXIE	FMV	218.			218.
GENERAL ELECTRIC	FMV	4,712.			4,712.
WACHOVIA CORP	FMV	89,131.			89,131.
JOHNSON & JOHNSON	FMV	14,950.			14,950.
TXU CORP	FMV	201,909.			201,909.
EI DUPONT DE NEMOURS	FMV	23,010.			23,010.